

# Role of Radiation-induced TGF-beta Signaling in Cancer Therapy

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## Abstract

TGF- $\beta$  signaling regulates several different biological processes involving cell-growth, differentiation, apoptosis, motility, angiogenesis, epithelial mesenchymal transition and extracellular matrix production that affects embryonic development and pathogenesis of various diseases, including cancer, its effects depending on the cellular context and physiological environment. Growth suppression mediated by TGF- $\beta$  signaling often associated with inhibition of c-myc, cdks and induction of p15, p27, Bax and p21. Despite its growth inhibitory effect, in certain conditions TGF- $\beta$  may act as a promoter of cell proliferation and invasion. Loss of responsiveness to growth suppression by TGF- $\beta$  due to mutation or loss of TGF-beta type II receptor (T $\beta$ RII) and Smad4 in several different cancer cells are reported. In addition, TGF- $\beta$  binding to its receptor activates many non-canonical signaling pathways. Radiation induced TGF- $\beta$  is primarily involved in normal tissue injury and fibrosis. Seminal studies from our group have used radio-adjuvant therapies, involving classical components of the pathway such as T $\beta$ RII and SMAD4 to overcome the growth promoting effects of TGF- $\beta$ . The main impediment in the radiation-induced TGF- $\beta$  signaling is the induction of SMAD7 that blocks TGF- $\beta$  signaling in a negative feedback manner. It is well demonstrated from our studies that the use of neutralizing antibodies against TGF- $\beta$  can render a robust radio-resistant effect. Thus, understanding the functional interactions of TGF- $\beta$  signaling components of the pathway with other molecules may help tailor appropriate adjuvant radio-therapeutic strategies for treatment of solid tumors.

**Keywords:** TGF- $\beta$ ; Smads; Radiation; Cancer; Apoptosis

## Introduction

Cell proliferation is a very intricate and complex process involving both stimulatory and inhibitory signals. Abnormal proliferation observed in cancer cells is caused by mutations that either increase positive growth signals or decrease negative growth control signals or a combination of both events. TGF- $\beta$  signaling is one such regulatory signal that is manipulated during abnormal proliferation seen in several tumors such as pancreatic, breast and colon. While the role of TGF- $\beta$  in wound healing is extensively investigated field of research, effect of TGF- $\beta$  on tumor suppression and progression has received very little attention, with significant developments occurring during the last few decades. TGF- $\beta$  demonstrates both tumor-suppressive effects that malignant cells must overcome to continue their development and oncogenic effects stimulating cell proliferation and invasion once the suppressor activity is inhibited. TGF- $\beta$  regulates processes such as immune regulation and cell invasion, as well as microenvironment modifications that malignant cells may use to their advantage (1). It is generally agreed that in early stages, TGF- $\beta$  plays an important role in suppression of malignancy and at later stages in tumor progression. TGF- $\beta$  is also an important modulator of immunity, particularly malignant cells that elude from growth suppressive effects are able to produce large amounts of this cytokine and thus are protected against immune response. In normal or premalignant cells, TGF- $\beta$  inhibits tumor progression directly through cell-autonomous tumor-suppressive effects (cytostasis, differentiation, apoptosis) or indirectly through its effects on the stroma (suppression of inflammation and stroma-derived mitogens). When growth-suppressive function is lost in malignant cells, TGF-

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$\beta$  pathway is used to initiate immune evasion, to produce growth factors, to differentiate into a more invasive phenotype and facilitate dissemination leading to metastasis. While, the molecular event leading to this switch from tumor suppressing role to tumor promoting, has remained elusive, studies have suggested that host immune cells may play a role in this transformation (2).

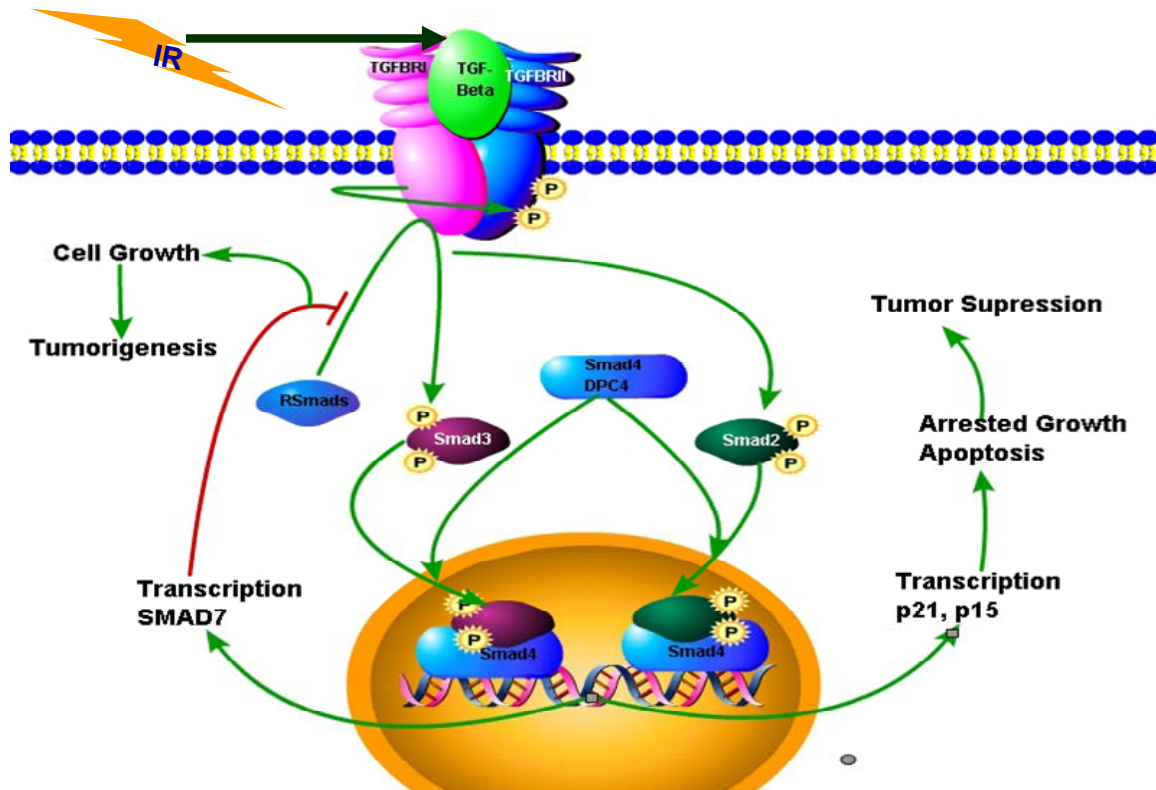
Regulation of TGF- $\beta$  signaling becomes more complicated with the interaction of TGF- $\beta$  signaling components with members of other signaling pathways and non-canonical TGF- $\beta$  pathways. In addition regulation of TGF- $\beta$  signaling by the cellular microenvironment such as hypoxia has been observed in our studies. Yet another layer of complexity is added to the process of tumorigenesis by the selective up regulation of specific miRNA clusters. In gastric cancer, miR-106b and miR-93 were shown to suppress p21 expression, miR-25 silences pro-apoptotic gene BCL2L11 (BIM) expression, and these genes are essential for TGF- $\beta$  mediated cell cycle arrest and apoptosis respectively (3).

Although, radiation induced TGF- $\beta$  was implicated in radiation-induced fibrosis and normal tissue injury (4), the role of radiation-induced TGF- $\beta$  in tumor suppression remains largely unexplored, due to intrinsic deficiencies of this pathway in many cancer cells. Adjuvant gene therapeutic agents, including some against the specific miRNA sequences, can be combined to exploit the useful effects of radiation induced TGF- $\beta$  in controlling the tumor. This review largely focuses on such novel therapeutic approaches.

### TGF-beta pathway: a canonical overview

The human TGF- $\beta$  family is constituted by more than 30 factors separated into two groups: one contains factors like TGF- $\beta$ , activin, myostatin, nodal and lefty, while other group comprises of bone morphogenic proteins (BMP), anti-mullerian hormone (AMH) and other growth and differentiation factors. Many of these factors in the second group are key regulators of embryonic stem cell differentiation, body axis formation and organogenesis, while role in adults is related to gonadal regulation by activin, inhibition of muscle development by myostatin bone growth and repair by BMPs (5). TGF- $\beta$ 's are made as preproteins that are cleaved to form a homodimer of two polypeptides connected by a disulfide bond. TGF- $\beta$  molecule exists in 3 isoforms: TGF- $\beta$ 1,  $\beta$ 2 and  $\beta$ 3. TGF- $\beta$ 1 is found predominantly in the immune system (6). The

complex of TGF- $\beta$ 1, Latency Associated Protein (LAP, derived from propeptide), Latent TGF- $\beta$  binding protein (LTBP) is called as large latent complex (LLC) which is activated by several different molecules such as proteases, thrombospondin-1, reactive oxygen species, and the integrins such as  $\alpha$ v $\beta$ 6 (7). The complex of TGF- $\beta$ 1 and LAP is referred to as small latent complex (SLC). LTBP1 plays a critical role in  $\alpha$ v $\beta$ 6-mediated latent TGF- $\beta$  activation and enhancement of SLC secretion (8). It is interesting to note that radiation induces integrin  $\alpha$ v $\beta$ 6 in lungs (9). TGF- $\beta$  signals by binding to transmembrane serine-threonine kinases receptors, initially with type II receptor (RII); this receptor-ligand complex recruits and homodimerizes with RI by phosphorylation of the glycine-serine-rich domain resulting in activated type I receptor (RI) that serves as a docking site for Smad 2 and Smad 3 proteins. A third receptor (RIII), although not believed to be involved directly in TGF- $\beta$  signaling, acts to present TGF- $\beta$  to RII. The receptor complex consists of two types, type II and type I receptors. Upon phosphorylation by RI, the Smad 2 and Smad 3, dissociates from RI and are commonly referred as receptor activated Smads (RSmads). At RI, the I-Smads, Smad6 and 7, act as competitive inhibitors of R-Smad phosphorylation. SARA (Smad Anchor for Receptor Activation) and cPML (cytoplasmic Promyelocytic Leukemia Protein) recruit Smad2 and Smad3 for phosphorylation by the TGF- $\beta$  receptor. The cPML protein is sequestered in the nucleus by TGIF (TGF-beta-Interacting Factor) thereby negatively regulating TGF- $\beta$  signaling pathway. PCTA (PML competitor for TGIF association) has been shown to compete with cPML for binding to TGIF, thereby promoting TGF- $\beta$  signaling. (10). One or both RSmad's associates with Smad 4, also called co-Smad, forming a heterodimeric, heterotrimeric or heterotetrameric complex, translocates to the nucleus, where it associates with other transcriptional co-activators (11). When in basal state Smad's may shift in and out of the nucleus, but once phosphorylated by RI, RSmads will accumulate in the nucleus. Once the TGF- $\beta$  signaling is terminated, RSmads are dephosphorylated rapidly and exported to cytoplasm. Multiple Smads have been reported of which Smad 2 and 3 act as signal transducers for TGF- $\beta$  pathway, while Smad 1, 5 and 8 mediate signals for BMP-like ligands (1) (Fig. 1). The DNA-binding properties of R-Smads and Co-Smad have been extensively analyzed, and the minimal Smad binding element (SBE) contains only four base pairs, 5'-AGAC-3', called the CAGA



**Figure 1. Dual effects of TGF- $\beta$  signaling on the growth of cells and impact of radiation.** Classical canonical TGF- $\beta$  signaling pathway showing the dual effects of this signaling on the cell growth. Normally this pathway induces cell growth suppressive effects, however, during the process of tumorigenesis due to several factors TGF- $\beta$  signaling pathway induces proliferation, invasiveness, angiogenesis, metastasis and immune suppression. In this figure, based on the findings from our group, radiation can potentially induce TGF- $\beta$  and this TGF- $\beta$  can be activated to exert negative growth effects by inducing the expression of cdk inhibitors such as p21 and p16. It is also shown here that the inhibitory Smad7 can be induced by Smad4 that can in turn inhibit the TGF- $\beta$  signaling.

box (12). Understanding the canonical TGF- $\beta$  signaling from a canonical view subjugated Smads to the function of mere transducers of this pathway. However this pathway is far more complex than what the canonical view (Fig. 1) has proposed.

### TGF-beta pathway: a non-canonical overview

A simple scheme of ligand to receptor to activated transcription factor is highly regulated and riddled with crosstalk from other pathways. In spite of DNA binding ability of Smads, the Smad4-RSmad complexes must associate with additional DNA-binding cofactors in order to achieve binding with high affinity and selectivity to specific target genes. These Smad partners are drawn from various families of transcription factors, including forkhead, homeobox, zinc-finger, bHLH, AP1 families and others (13, 14). Each Smad4-RSmad-cofactor combination is determined by the presence of transcription factor specific cognate binding sequence element in the regulatory regions of target genes. Activated Smad complexes additionally to

recruit transcriptional co-activators, co-repressors and chromatin remodeling factors that may ultimately lead to stimulus which can activate or repress hundreds of target genes at once. These transcriptional co-activators increase transcription by bringing the sequence-specific transcription factors in close proximity to RNA polymerase II complex. CBP/p300 function requires presence of Smad 4 to stabilize the interaction between RSmad with CBP (15). Tang et al., described a non-canonical role for I-Smad 7, where they show that SMAD7 directly interacts with  $\beta$ -catenin and inhibits its phosphorylation by glycogen synthase kinase 3 $\beta$  (GSK-3 $\beta$ ) and casein kinase 1 $\alpha$  (CK1 $\alpha$ ), resulting in decreased  $\beta$ -catenin degradation (16). Non-canonical functions for R-Smads (Smad1, 2 and 3) have also been described which include post-transcriptional processing of miR-21 (17). The miR-21 down-regulates the tumor suppressor PDCD4 (Programmed Cell Death 4) suggesting that Smads as promoters of tumorigenesis (18, 19). However, it is important to note that phosphorylation status of

the Smads does not affect the miRNA processing activity of Smads.

Phosphorylation of R-Smads on S-S-X-S (where X is any amino acid) motif can be achieved by factors and kinases other than TGF- $\beta$  and T $\beta$ RI respectively. Epidermal Growth Factor (EGF) and Hepatocyte Growth Factor (HGF) induce R-Smad phosphorylation although the mechanistic details such as the kinase responsible for this function are not characterized. In addition to these studies, Mps1, a kinase involved in cell cycle and mitotic control and activated by a microtubule destabilizing agent, nocodazole, has been shown to be phosphorylate R-Smads in a TGF- $\beta$  independent manner (20)

### **Crosstalk between TGF- $\beta$ and other signaling pathways**

The complexity of crosstalk between TGF- $\beta$  and other signaling pathways are overwhelmingly intricate and highly context dependent. We present some of the ways TGF- $\beta$  signaling could be manipulated.

#### **MAPK and TGF- $\beta$ pathway**

Her2/Neu/ErbB2 signaling activated both MAPK and PI3K/Akt pathways and interacts with TGF- $\beta$  signaling pathway in breast cancer. A general opinion emerging from these studies is that Her2/Ras can antagonize TGF- $\beta$ -induced apoptosis and cell cycle arrest, while metastatic function of TGF $\beta$  is unchecked (21-23). Both negative and positive regulations exist between these pathways. While JNK kinases seem to negatively regulate autocrine TGF- $\beta$  expression, MEK/Erk has been reported to positively regulate SMAD3 expression in epithelial and smooth muscle cells (24, 25). Erk mediated phosphorylation of linker region of SMAD3 is known to inhibit its transcriptional activity but does not affect its nuclear localization (26). On the other hand phosphorylation by other kinases including Rho-dependent Kinase (ROCK) and p38MAPK at serine203/207, facilitates rather than inhibit TGF- $\beta$ -mediated growth inhibition (27). Oncogenic Ras, along with JNK and p38 phosphorylate SMAD4 or mutant SMAD4 reduces the protein stability of Smad4 (28) Further these kinases have a role in transcriptional regulation of SMAD7, indirectly regulating TGF- $\beta$  signaling. (29, 30).

#### **PI3K/Akt and TGF- $\beta$ pathways**

PI3K/Akt signaling abrogates the pro-apoptotic function of TGF- $\beta$  in response to insulin, IGF, IL-6

and some viral proteins (31-34) with Smad3 but not Smad2 as the primary target of inhibition by PI3K/Akt pathway. How PI3K/Akt mediates inhibition of Smad3 remains to be answered.

#### **Wnt and TGF- $\beta$ pathways**

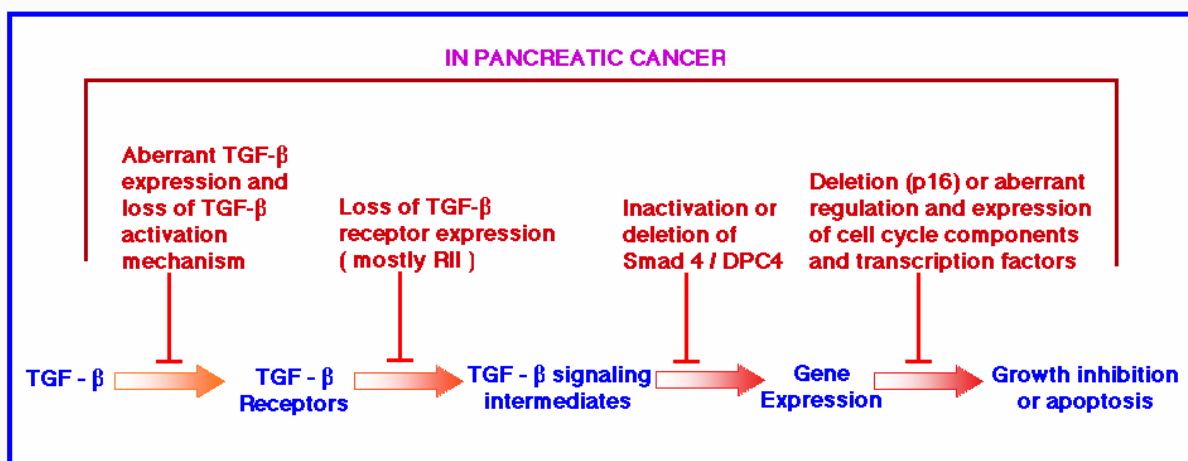
A large number of shared target genes of Wnt and TGF- $\beta$  pathway are regulated by Smad/ $\beta$ -catenin/Lef protein complex in a synergistic manner. In addition mutual regulation of TGF- $\beta$  and Wnt ligands is important during development as well as in adult tissues (35).

#### **Interaction of rest of the signaling pathways with TGF- $\beta$ pathway**

Sonic Hedgehog (Shh) induces an invasive phenotype of cultured gastric cancer cells, which is thought to be mediated by Shh-mediated expression of TGF- $\beta$  ligand and T $\beta$ RI (36). While the interaction of Shh pathway with TGF- $\beta$  has been extensively shown in development of drosophila and zebra fish, the role of this interaction in the process of carcinogenesis needs to be examined. There is extensive crosstalk of TGF- $\beta$  and Notch signaling that could affect several functions of TGF- $\beta$  signaling such as epithelial mesenchymal transition (EMT). Smad3 mediated expression of Jagged 1 and Hey1 is necessary for TGF- $\beta$ -induced EMT (37). Jagged 1 up regulation contributes to TGF- $\beta$ -stimulated p21 expression and cytostasis in epithelial cells (38). Smad3 and NICD (Notch Intracellular Domain) directly interact with CSL to form a specific DNA-binding complex at the promoter of Hes 1 (39). Activated Notch 1 inhibits the TGF- $\beta$  mediated pro-apoptotic function by sequestering the p300 co-factor from Smads (40).

The function of Interleukins, TNF $\alpha$ , and IFN $\gamma$  and their bioavailability is modulated by TGF $\beta$  and vice versa. Most of these factors are key regulators of immune functions and inflammatory responses. The role of these interactions in the process of tumorigenesis has received much less attention.

Thus, there is no simple rule to describe how TGF- $\beta$  interacts with other signaling pathways. The main concept is TGF- $\beta$  signaling crosstalk is context dependent, differentially occurring with parameters such as cell type, developmental stage, physiological, pathological, microenvironment, protein localization, modifying enzymes, co-factors, targets among several other variables. The outcome of all crosstalks between several different pathways is an integrated reflection of the state of the cell. Identifying the exact defects in these integrated circuits during the process of tumorigenesis is a



**Figure 2. A schematic representation of genetic lesions at different stages of TGF- $\beta$  that lead to tumorigenesis in pancreatic cancer model.** In a normal condition, TGF- $\beta$  signaling leads to growth suppression. However due to mutations or loss of several key genes involved in this signaling pathways, the growth suppressive effects are transformed to growth proliferative effects. SMAD4 and T $\beta$ RII genes are often mutated in pancreatic carcinoma. Alteration in any of these components can lead to abnormal cell proliferation and render radio-resistance phenotype.

challenging task that needs to be rectified by making enough changes to tilt the scale in favor of effective tumor control. Adding radiation to this scheme of things adds another layer of complexity to the process of tumor control. TGF- $\beta$  pathway responses in addition to its crosstalk with radiation-induced signaling network needs to be analyzed for effective use of radiation in tumor control.

### TGF- $\beta$ signaling pathway and malignancy

TGF- $\beta$ s elicit their effects by binding to cell surface receptors. The tumor suppressor activity of TGF- $\beta$  is predominantly mediated through its effect on cell cycle progression and apoptosis. Inhibition of c-Myc, which is known to promote cell cycle entry into S phase by regulating various cell cycle related genes, by TGF- $\beta$  signaling is very well established (41-43). Repression complex of SMAD3, E2F4/5 and p107 which are induced upon TGF- $\beta$  signaling repress the expression of c-Myc (44). Stimulation of p15<sup>ink4b</sup> and p21<sup>cip1</sup> expression by TGF- $\beta$  leads to inhibition of cyclin D-CDK4/6 and Cyclin A/E-CDK2 activity (45, 46). The mechanisms by which TGF- $\beta$  induces apoptosis are varied and include induction of caspases, down-regulation of Bcl-X, Bcl-2 and cyclin D1, inhibition of c-myc, cdks, cdk4-associated Rb kinase activity; induction of cdk inhibitors p15 and p27; induction and activation of Bax (47-50). Our recent findings suggest that TGF- $\beta$  directly

regulates the expression of Bax through the Smad binding element (SBE)<sup>1</sup>,

Studies have shown that a variety of carcinoma cells lose their growth inhibitory response to TGF- $\beta$  (51-55). In fact tumors secrete copious amount of activated TGF- $\beta$  which is thought to facilitate invasion and metastasis, angiogenesis, and suppression of antitumor immune responses (56) (Fig. 1). Escape from TGF- $\beta$ 's negative growth regulation thus provides cells with a selective growth advantage and accelerates tumor progression. Since the heteromeric complex of RI and RII is essential for TGF- $\beta$  signaling, it has been suggested that loss of these receptors could cause TGF- $\beta$  insensitivity and thus contribute to uncontrolled growth of cells. A study on the pancreatic cancer cell line MIA PaCa-2 demonstrates resistance to TGF- $\beta$ -induced growth inhibition due to a lack of RII gene expression (57). Growth inhibitory effect of TGF- $\beta$  was restored after expression of RII cDNA in a mutant hepatoma cell line, Hep 3B-TR, that lacks a functional RII (58). Similarly, expression of RII cDNA led to reduced malignancy in the human breast cancer cell line, MCF-7 (51). Studies of HNPCC suggest that mutations in RII may result from micro-satellite instability (59). Further reports in a variety of

<sup>1</sup>Reeves et al. Smad-4-mediated up-regulation of Bax is essential in eliciting radiation-induced apoptotic response in pancreatic adenocarcinoma. Manuscript in preparation.

carcinoma cell lines (60, 61) also provide evidence of an association between MIN and mutations in RII, and a possibility that RII is a tumor suppressor gene (62). The mutations found in RII were 1-2 bp insertions or deletions in the simple repeats of coding sequence and resulted in non-functional RII transcripts. Missense mutations in the kinase domain of the RII gene resulted in loss of functional receptors in head and neck squamous carcinoma cell lines (63). These studies support the premise that structural defects in RII would be central to disabling ligand binding and complex formation, hence inhibiting TGF- $\beta$ -mediated signal transduction. However, in contrast to HNPCC, we failed to observe a significant number of mutations in simple repeat sequences of the RII gene in pancreatic adenocarcinoma (64). In addition, deletion or intragenic mutations of Smad-4 (DPC4), a tumor suppressor gene located on chromosome 18, can cause a loss in response to TGF- $\beta$  (65).

The Smad-4 gene is reported to be homozygously deleted in about 30% of pancreatic cancer and to be inactivated by intragenic mutations in another 20% of these cancers (66). We found that most of the pancreatic cancer cell lines showed no growth inhibitory response to exogenously supplied TGF- $\beta$ . Since RII was not often mutated in pancreatic cancer, we anticipated that downregulation of TGF- $\beta$  RII receptors might be responsible for the loss of response to TGF- $\beta$  induced growth inhibition. Intragenic mutations or loss of expression of Smad-4, an essential down-stream target of TGF- $\beta$  signaling pathway could cause a similar loss in response to TGF- $\beta$ . Our earlier findings revealed that most pancreatic cancer cell lines showed down regulation of the mRNA expression of TGF- $\beta$  receptors and Smad-4 (67). On the contrary, few reports from Dr Korc's lab showed up-regulation of RII mRNA when compared to the expression in normal pancreas. This upregulation of RII receptor correlated with poor prognosis (68, 69). Interestingly, the DNR transgenic mice (overexpressing dominant-negative mutant for RII to block the endogenous function of RII) showed increased proliferation of pancreatic acinar cells and severely perturbed acinar differentiation (70, 71). These findings strongly suggest that dysfunction in RII is an important indicator of pancreatitis and pancreas cancer.

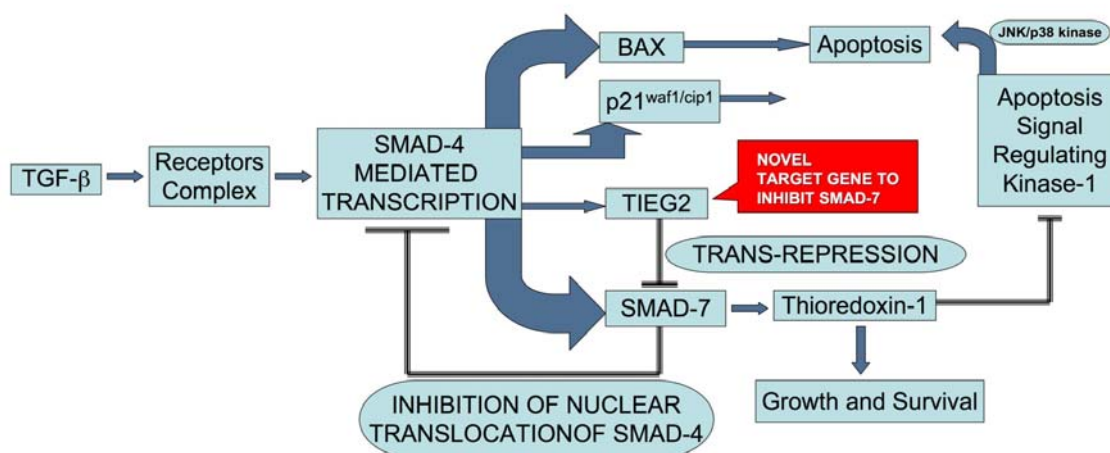
In addition to the loss or mutation of RII and SMAD4 genes, deletion or inactivation of TGF- $\beta$  effector genes such as p16 (MTS1) is also observed (72). Genetic studies in mice have provided evidence for TGF- $\beta$  in tumor suppression. TGF- $\beta$ 1

heterozygous null mice show increased liver cell proliferation with reduced apoptosis and high incidence of tumor formation when challenged with carcinogens (73). Homozygous loss of SMAD2 and SMAD4 lead to death of mice in utero, their heterozygous null counterparts are viable, with SMAD4 heterozygous mice develop gastric polyps that can form tumors at a later age (74, 75). Although no mutations for SMAD3 have been reported so far, mice with homozygous deletion for SMAD3 gene develop aggressive colorectal cancer. In addition to the classical targets several new targets such as ELF, a  $\beta$ -spectrin, which plays a crucial role in the propagation of TGF- $\beta$  signaling (76) have been identified. ELF associates with Smad3 and the TGF- $\beta$  receptor complex; leading to further interaction with Smad4 and their translocation to the nucleus. Mis-colocalization of Smad3 and Smad4 were observed in mice null homozygous for ELF causing disruption of TGF- $\beta$  signaling (77). Loss of TGF- $\beta$  signaling through  $\beta$ -spectrin ELF leads to hepatocellular cancer through cyclin D1 activation (78). Thus, it appears that TGF- $\beta$  signaling could be affected through disruption of several different components, some characterized, others needing more investigation. Further, other signaling pathways, may affect the outcome of TGF- $\beta$  pathway. A schematic representation of multiple targets that get affected in pancreatic cancer cells leading to the loss of growth suppressive effects of TGF- $\beta$  signaling is shown in Fig. 2.

### Radiation-induced TGF-beta signaling

TGF- $\beta$  was found in relation to the pathological changes of late radiation damage in the non-tumor-bearing tissues of previously irradiated patients, and thus it is well recognized that TGF- $\beta$  activity may modulate late post-radiation changes (79). While IR was shown to specifically induce expression of TGF- $\beta$ 1 isoform, it down regulates the TGF- $\beta$ 3 isoform and does not influence the TGF- $\beta$ 2 isoform (80, 81). All this responses are required for post-irradiation DNA repair, progression through cell cycle, inflammation in an early stage, and later development of radiation damage such as fibrosis. Also, the TGF- $\beta$ 1 type I promoter was significantly increased at 6 hours after radiation, showing that promoter activation is indeed mediated by irradiation. It was well documented that the fibrosis formation following radiotherapy results not only from TGF- $\beta$  produced locally in the injured normal tissue, but also from circulating TGF- $\beta$  released by the tumor. Thus, radiotherapy-induced normal





**Figure 3. Radiation induced SMAD7, a bottleneck in radiotherapy.** As a negative feedback mechanism, radiation-induced TGF- $\beta$ , elevates the expression of SMAD7, which blocks the activity of this pathway. TIEG2 (also known as KLF11) is a tumor suppressor gene that causes repression of SMAD7 expression and can be a useful target for adjuvant radiotherapy to harness the negative growth effects of TGF- $\beta$  signaling pathway. Thus, over expression of TIEG2 will drive the TGF- $\beta$  pathway towards the growth suppressive functions and enhance the radiation response.

tissue damage appears in part to be a local manifestation of a systemic condition (82).

There is extensive evidence on the critical role played by TGF- $\beta$  in the initiation, development, and persistence of radiation-induced fibrosis. Current trend focuses to develop agents that can specifically target TGF- $\beta$  pathway to inhibit radiation-induced fibrosis of the normal tissues (4) and one such agent is to use the soluble-form of TGF- $\beta$  type II receptor that can bind TGF- $\beta$  in a dominant-negative fashion, and then consequently block the TGF- $\beta$  function (83). To further support this notion in a clinical situation, in a screen of serum samples from patients treated with high-dose radiation that was delivered through GRID, we found that TGF- $\beta$  was not elevated and failed to correlate with tumor regression response. And, these patients did not show any clinical symptoms of fibrosis or normal tissue injury (84). Thus, this is another example where inhibiting the elevation of TGF- $\beta$  in response to radiation can mitigate normal tissue injury and abrogate fibrosis.

The serum levels of TGF- $\beta$  in certain patients may potentially dictate tumor response to radiotherapy. Lower pretreatment TGF- $\beta$  and VEGF levels were found to be associated with tumor response to chemoradiation (85). In an *in-vitro* study, the levels of the active form and total TGF- $\beta$  in the supernatants from irradiated malignant glioma cells was found to be decreased compared to those from un-irradiated cells. However, since irradiation

inhibited the growth of malignant glioma cells, the amount of TGF- $\beta$  secretion per cell in irradiated cell

compartment was found to be elevated after irradiation. These results suggest that malignant glioma cells can still secrete TGF- $\beta$  and activate latent TGF- $\beta$  even after large dose irradiation, despite the inhibition of tumor growth (86). These observations raise the questions whether the activated form of TGF- $\beta$  induced in response to radiation can participate in the growth inhibition of tumor cells provided these tumor cells harbor intact components of TGF- $\beta$  downstream signaling pathway.

To understand the above posed questions, our group initiated several studies with the hypothesis that the radiation induced TGF- $\beta$  will be involved primarily in negative growth regulation and cell death. We previously reported that radiation induces an endogenous TGF- $\beta$  protein that exerts clonogenic inhibition and causes apoptosis in tumor cells that harbor intact TGF- $\beta$  signaling pathway. The absence or low level of expression of RII or Smad4 is thought to be a reason for resistance to chemo- or radiotherapy in lung cancer. We showed that restoration of RII receptor in normal lung cells that specifically harbored defective TGF- $\beta$  signaling pathway increased sensitivity to radiation and will decrease malignant potential by increasing apoptosis (87). The wild-type p53 protein is reported to affect radiation responsiveness in several types of cancers, with radiation causing an accumulation of

p53 protein that initiates apoptosis. p53 was found to be mutated and nonfunctional in the majority of pancreatic tumors, and this mutation is recognized as an important factor in poor prognosis of this disease playing an important role in the resistance of cancers to radiation and chemotherapy.

Our studies show that abrogation of endogenous TGF- $\beta$  function causes increased proliferative potential, as well as increased resistance to radiation-induced clonogenic inhibition and further rendered resistance to recombinant TGF- $\beta$  induced or radiation-induced apoptosis. Restoration of TGF- $\beta$  signaling pathway in p53 deficient pancreatic cancer cell line MIA PaCa-2 led to IR induced TGF- $\beta$  signaling, up-regulation of p21 and Bax activation caspases and enhanced sensitivity to IR (47). In addition, primary mouse embryonic fibroblast (MEF) transfected with a dominant negative T $\beta$ RRII mutant resulted in abrogation of radiation induced TGF- $\beta$ -responsive promoter activity with enhanced resistance to radiation-induced apoptosis.

TGF- $\beta$ 1 plays a central role of activation of p53 and ATM signaling pathways, increasing apoptosis in irradiated cells. Whether the fast stimulation of p53 and ATM involves interaction between TGF- $\beta$ 1-RI-Smad signaling is still a field of controversy, as p53 and ATM are phosphorylated even when RI is inhibited (88). Low dose radiation (50 mGy  $\gamma$ -rays) exposure of normal cells was found to have bystander effects on transformed cells leading to their apoptosis in a TGF- $\beta$  dependent manner (89). In MCF-7 cell line resistant to Doxorubicin chemotherapy, a decrease in expression of TGF- $\beta$  receptors type I and II was observed, thus leading to resistance to radiation therapy as well. This refractoriness is caused at least partially by defective TGF- $\beta$  signaling pathway (90).

Activated Ras, often seen in carcinomas of the pancreas and colon, deregulates TGF- $\beta$  signaling by altering the expression of TGF- $\beta$  type II receptor (RII); tumors harboring mutant Ras are more resistant to radiation than cells with wild-type Ras (91-94). In addition, Ras inhibits TGF- $\beta$  antiproliferative effect through suppression of Smad 2 or 3 nuclear translocation (95).

The protein p21 expression is induced by p53 after DNA damage or by TGF- $\beta$  signaling through the Smad pathway leading to G1 cell cycle arrest. In BxPC3 and Hs766T, with inactivated p53 and TGF- $\beta$  pathways (both cell lines have homozygous deletion of DPC4), radiation failed to induce an up-regulation of p21 protein, while DPC4 (Smad4) re-expression resulted in p21 protein elevation via direct

transactivation of the p21 promoter in response to radiation-induced TGF- $\beta$  exposure<sup>1</sup>. Re-expression of functional RII receptor in MIA PaCa-2 cells enhanced TGF- $\beta$  mediated growth inhibition caused by both recombinant TGF- $\beta$  and radiation. This enhanced radiation sensitivity was associated with induction of Bax mRNA and release of cytochrome C and activation of caspase-3, suggesting a clear induction of programmed cell death. Bax is induced both by p53 and by TGF- $\beta$ <sup>1</sup>. Radiation failed to cause an increase in the expression of Bax protein in parental MIA PaCa-2 cells because both the p53 and TGF- $\beta$  pathways are not functional, but restoring TGF- $\beta$  signaling led to Bax protein elevation after irradiation despite a nonfunctional p53 pathway, suggesting a clear induction of programmed cell death (47). Our recent data using Bax promoter constructs clearly shows a direct regulation of Bax by radiation-induced TGF- $\beta$  signaling<sup>1</sup>.

Restoration of TGF- $\beta$  signaling is necessary to overcome the effects of a nonfunctional p53 pathway and can be the basis of the radiation sensitivity in these cells. Based on previous studies on eight human colorectal cancers, it was postulated that radiation-induced sensitization to TGF- $\beta$  require functional p53, but our study demonstrated that MIA PaCa-2/RII cells with restored TGF- $\beta$  signaling are sensitive to radiation despite the fact that these cells harbor a mutated p53 gene (47). Importantly, radiation failed to induce p21 protein expression in parental MIA PaCa cells, since both the p53 and TGF- $\beta$  pathways are not functional in these cells, but after restoration of functional RII, radiation exposure resulted in p21 protein elevation (87).

Inhibiting Ras oncogenic activity may increase the sensitivity of tumor cells to radiation. Post-translational activation of Ras is initiated by farnesyltransferase (FT), resulting in the prenylation of the Ras protein that binds to the membrane and induces transformation and proliferation. Based on this, we demonstrated that farnesyltransferase inhibitor (FTI) directly restores TGF- $\beta$  signaling through restoring the RII expression leading to induction of radiation sensitivity. Knowing that MIA PaCa-2 cells are highly resistant to exogenous recombinant TGF- $\beta$ 1 protein (57), lack RII expression and devoid of TGF- $\beta$  signaling (67) with increasingly sensitive to FTI (L-744,832), we demonstrated that in MIA PaCa-2 cells FTI alone or FTI plus radiation caused an induction of RII expression. In these cells FTI induced an increased TGF- $\beta$  promoter activity, with an even more significant induction of activity in cells



treated with both radiation and FTI, resulting in a parallel increase in apoptosis induction, indicating that FTI restores radiation-induced TGF- $\beta$  signaling by restoring RII expression and enhances the effect of radiation. This mechanism of effect of FTI was found to be at the promoter level to regulate the transcription of both RII and p21 induction. This activity was significantly inhibited by the presence of anti-TGF- $\beta$  neutralizing antibody. It was shown that FTI inhibited growth in five pancreatic cancer cell lines and this inhibition was associated with post-translational processing of H-Ras and N-Ras, but not K-Ras. (96). In a more recent study it was actually shown that irrespective of K-Ras mutational status, FTI was found to inhibit the farnesylation of Ras protein in pancreatic adenocarcinoma cells. This study showed inhibition of Ras activity after FTI treatment in two pancreatic cancer cell lines, one with wild-type K-Ras (BxPC-3) and the other with mutant K-Ras (MIA PaCa-2).

It was also reported that high levels of DNA methyltransferase 1 (dnmt1) levels are required to maintain Ras-transformed phenotype, indicating that dnmt1 is an important downstream effector of Ras oncogenic pathway. High levels of dnmt1 activity were found in many tumor cells *in-vitro* and *in-vivo*, and activation of oncogenic Ras signaling resulted in the induction of dnmt1 expression. Dnmt's are associated with methylation of several promoters and thus resulting in down-regulation of RII expression due to its promoter hypermethylation. Again, it was demonstrated that re-expression of RII by FTI or FTI plus radiation caused significant inhibition of the expression of dnmt1 when compared to the cells that were not treated by FTI. FTI treatment restored the RII expression through inhibition of dnmt1 levels causing elevation of functional TGF- $\beta$  signaling by radiation, suggesting a causal relation between the down-regulation of dnmt1 and upregulation of RII expression in response to FTI treatment. In summary, FTI mediated inhibition of dnmt1 expression resulted in the restoration of TGF- $\beta$  signaling via RII re-expression is a potential sensitizer to the effects of ionizing radiation (97).

As mentioned before, measuring the expression of the p21, one of TGF- $\beta$  target genes and also inducible by p53, has been thoroughly studied (98). We also mentioned that MIA PaCa-2 cells contain only one allele of p53 and this allele is mutated. When studied in MIA PaCa-2 cells p21 was up-regulated cells treated with FTI, but not in cells treated with radiation alone, suggesting that the

lack of up-regulation of p21 protein in irradiated MIA PaCa-2 cells may be due to dysregulation in both the p53 and TGF- $\beta$  pathways. In MIA PaCa-2 cells treated with FTI, in the absence of functional p53, induction of RII expression and the restoration of TGF- $\beta$  signaling are the likely causes for the up-regulation of p21 (97).

In addition to TBR11, mutations of Deleted in Pancreatic Carcinoma 4, DPC4 (SMAD4) is also frequently observed. BxPC-3 cells with restored DPC-4 expression (by adenovirus) were significantly sensitive radiation-induced clonogenic inhibition when compared to cells over expressing adenoviral vector alone. Interestingly, bax protein was found elevated in the untreated samples and irradiated BxPC-3 cells overexpressing DPC-4. TGF- $\beta$  signaling was found to directly regulate Bax by binding to SMAD binding element in the promoter of Bax. Similar findings were observed in another DPC-4 null pancreatic cancer cell line Hs667T<sup>1</sup>.

The cells that have defective components of TGF- $\beta$  signaling pathway are radio-resistant. However, the cells with intact TGF- $\beta$  pathway tend to show reduced TGF- $\beta$  response due to induction of inhibitory SMAD, SMAD7, leading to negative feedback repression of this signaling (99). SMAD7 repressor, TIEG2 (also known as KLF11) is downregulated in human cancers, inhibits cell growth *in-vitro* and *in-vivo*, and suppresses neoplastic transformation (100). Like the Smads, KLF11 is an early response transcription factor that mediates TGF- $\beta$ -induced growth inhibition in untransformed epithelial cells by repressing the Smad7 expression in combination mSin3A, a co-repressor (101). In our study, over expressing SMAD7 was found to make the pancreatic carcinoma cells radio-resistant (data not shown). In this context, use of TIEG2 to overcome, the SMAD7 mediated inhibition of cell death, could be an important target to enhance sensitivity to radiation through TGF- $\beta$  signaling (Fig. 3).

### Cross-talk between hypoxia and TGF-beta signaling pathway

Independent lines of investigation have shown that both hypoxia and TGF- $\beta$  can regulate the process of angiogenesis, mediated by Vascular Endothelial Growth Factor (VEGF). Sanchez et al., 2001 have demonstrated that two different signals through HIF-1 and TGF- $\beta$ , synergize in stimulating VEGF transcription by functional cooperation and physical interaction (102). Similarly other genes such as erythropoietin and endoglin have also been

reported (103, 104). However, all these studies have shown the interaction between HIF-1 and Smads in regulating the HIF-1 specific target genes. However, very few studies show the direct effect of hypoxia on the TGF- $\beta$  signaling as such. In addition, how the tumor irradiation leading to re-oxygenation responds to TGF- $\beta$  signals need to be addressed.

### Summary and conclusion

TGF- $\beta$  signaling is a highly complicated pathway with inputs from several different signaling pathways, genes and tumor microenvironment. Although molecular defects in TGF- $\beta$  signaling components such as SMAD4 and T $\beta$ R $\beta$ II have been identified and correlated with tumor progression as well as radiation response, there are several other possible targets that need a comprehensive evaluation. An understanding of the pathway, its interaction with other players, effects of miRNAs, microenvironment in addition to the effect of radiation-induced signal transduction profile needs to be understood in order to derive a meaningful approach and to custom design gene therapeutic strategies. Findings from our group and others on the impact of TGF- $\beta$  signaling in the regulation of radiation response does demonstrate that restoring the function of inactivated downstream components of TGF- $\beta$  signaling can result in enhanced radiation sensitivity. On the contrary, completely abrogating the TGF- $\beta$  signaling can lead to more of a radio-resistant phenotype.

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